This listing of claims will replace all prior versions, and listings, of claims in the application:

## **LISTING OF CLAIMS:**

1. (Currently Amended) A polymer comprising recurring units of the following general formula (1-1) or (1-2) derived from the ether compound of the above formula (1) and having a weight average molecular weight of 1,000 to - 500,000,

wherein k, m, n, and R<sup>1</sup> to R<sup>3</sup> are as defined above wherein R<sup>1</sup> is hydrogen or a straight, branched or cyclic alkyl group of 1-6 carbon atoms, R<sup>2</sup> is a straight, branched or cyclic alkyl group of 1-6 carbon atoms, R<sup>3</sup> is hydrogen or an acyl or alkoxycarbonyl group of 1-15 carbon atoms in which some or all of the hydrogen atoms on the constituent carbon atoms may be substituted with halogen atoms, k is 0 or 1, m is an integer from 0-3, and n is an integer from 3-6.

2. (Currently Amended) The polymer of claim 1 comprising, in addition to the recurring units of formula (1-1), recurring units of the following general formula (2-1):

$$R^{5}$$
 $H$ 
 $Z$ 
 $(R^{8})_{p}$ 
 $OR^{7}$ 

(2-1)

wherein k is <u>0 or 1</u> as defined above,

R<sup>4</sup> is hydrogen, methyl or CH<sub>2</sub>CO<sub>2</sub>R<sup>6</sup>,

R<sup>5</sup> is hydrogen, methyl or CO<sub>2</sub>R<sup>6</sup>,

R<sup>6</sup> is a straight, branched or cyclic alkyl group of 1 to 15 1-15 carbon atoms,

R<sup>7</sup> is an acid labile group,

R<sup>8</sup> is selected from the class consisting of a halogen atom, a hydroxyl group, a straight, branched or cyclic alkoxy, acyloxy or alkylsulfonyloxy group of 1 to 15 1-15 carbon atoms, and or a straight, branched or cyclic alkoxycarbonyloxy or alkoxyalkoxy group of 2 to 15 2-15 carbon atoms, in which some or all of the hydrogen atoms on constituent carbon atoms may be substituted with halogen atoms,

Z is a single bond or a straight, branched or cyclic (p+2)-valent hydrocarbon group of 1-to-5 1-5 carbon atoms, in which at least one methylene may be substituted with oxygen to form a chain-like or cyclic ether or two hydrogen atoms on a common carbon may be substituted with oxygen to form a ketone, and

p is 0, 1 or 2.

3. (Currently Amended) The polymer of claim 1 comprising, in addition to the recurring units of formula (1-1), recurring units of the following general formulae (2-1) and (3):

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(3)

(2-1)

wherein Z, k, p and R<sup>4</sup> to R<sup>8</sup> are as defined above k is 0 or 1,

R<sup>4</sup> is hydrogen, methyl or CH<sub>2</sub>CO<sub>2</sub>R<sup>6</sup>,

R<sup>5</sup> is hydrogen, methyl or CO<sub>2</sub>R<sup>6</sup>,

R<sup>6</sup> is a straight, branched or cyclic alkyl group of 1-15 carbon atoms,

R<sup>7</sup> is an acid labile group,

R<sup>8</sup> is a halogen atom, a hydroxyl group, a straight, branched or cyclic alkoxy, acyloxy or alkylsulfonyloxy group of 1-15 carbon atoms, or a straight, branched or cyclic alkoxycarbonyloxy or alkoxyalkoxy group of 2-15 carbon atoms, in which some or all of the hydrogen atoms on constituent carbon atoms may be substituted with halogen atoms,

Z is a single bond or a straight, branched or cyclic (p+2)-valent hydrocarbon group of 1-5 carbon atoms, in which at least one methylene may be substituted with oxygen to form a chain-like or cyclic ether or two hydrogen atoms on a common carbon may be substituted with oxygen to form a ketone,

p is 0, 1 or 2, and

Y is an oxygen atom or  $NR^9$  wherein  $R^9$  is a straight, branched or cyclic alkyl group of  $\frac{1 + 6}{1 - 6}$  carbon atoms.

4. (Currently Amended) The polymer of claim 1 comprising, in addition to the recurring units of formula (1-1), recurring units of the following general formula (4), alone or in combination with recurring units of the following general formula (2-1), and recurring units of the following general formula (3), and optionally, recurring units of the formula 2-1:

wherein Y, Z, k, p, and R<sup>4</sup> to R<sup>9</sup> are as defined above k is 0 or 1,

R<sup>4</sup> is hydrogen, methyl or CH<sub>2</sub>CO<sub>2</sub>R<sup>6</sup>,

R<sup>5</sup> is hydrogen, methyl or CO<sub>2</sub>R<sup>6</sup>,

R<sup>6</sup> is a straight, branched or cyclic alkyl group of 1-15 carbon atoms,

R<sup>7</sup> is an acid labile group,

R<sup>8</sup> is a halogen atom, a hydroxyl group, a straight, branched or cyclic alkoxy, acyloxy or alkylsulfonyloxy group of 1-15 carbon atoms, or a straight, branched or cyclic alkoxycarbonyloxy or alkoxyalkoxy group of 2-15 carbon atoms, in which some or all of the hydrogen atoms on constituent carbon atoms may be substituted with halogen atoms,

Z is a single bond or a straight, branched or cyclic (p+2)-valent hydrocarbon group of 1-5 carbon atoms, in which at least one methylene may be substituted with oxygen to form a chain-like or cyclic ether or two hydrogen atoms on a common carbon may be substituted with oxygen to form a ketone,

p is 0, 1 or 2, and

Y is an oxygen atom or NR<sup>9</sup> wherein R<sup>9</sup> is a straight, branched or cyclic alkyl group of 1-6 carbon atoms.

5. (Currently Amended) The polymer of claim 1 comprising, in addition to the recurring units of formula (1-2), recurring units of the following general formula (2-2):

(2-2)

wherein Z, k, p and R<sup>4</sup> to R<sup>8</sup> are as defined above k is 0 or 1,

R<sup>4</sup> is hydrogen, methyl or CH<sub>2</sub>CO<sub>2</sub>R<sup>6</sup>,

R<sup>5</sup> is hydrogen, methyl or CO<sub>2</sub>R<sup>6</sup>,

R<sup>6</sup> is a straight, branched or cyclic alkyl group of 1-15 carbon atoms,

R<sup>7</sup> is an acid labile group,

**Preliminary Amendment** 

R<sup>8</sup> is a halogen atom, a hydroxyl group, a straight, branched or cyclic alkoxy, acyloxy or alkylsulfonyloxy group of 1-15 carbon atoms, or a straight, branched or cyclic alkoxycarbonyloxy or alkoxyalkoxy group of 2-15 carbon atoms, in which some or all of the hydrogen atoms on constituent carbon atoms may be substituted with halogen atoms,

Z is a single bond or a straight, branched or cyclic (p+2)-valent hydrocarbon group of 1-5 carbon atoms, in which at least one methylene may be substituted with oxygen to form a chain-like or cyclic ether or two hydrogen atoms on a common carbon may be substituted with oxygen to form a ketone, and

p is 0, 1 or 2.

- 6. (Original) A resist composition comprising the polymer of claim 1.
- 7. (Original) A process for forming a resist pattern comprising the steps of:
  applying the resist composition of claim 6 onto a substrate to form a coating,
  heat treating the coating and then exposing it to high-energy radiation or electron
  beams through a photo mask, and
  - optionally heat treating the exposed coating and developing it with a developer.
- 8. (New) The polymer of claim 1, wherein the units of formula (1-1) or (1-2) are derived from an ether compound of the following general formula (1):

$$R^1$$
 $R^2$ 
 $R^2$ 
 $R^3$ 

(1)

wherein R<sup>1</sup> is hydrogen or a straight, branched or cyclic alkyl group of 1-6 carbon atoms, R<sup>2</sup> is a straight, branched or cyclic alkyl group of 1-6 carbon atoms, R<sup>3</sup> is hydrogen or an acyl or alkoxycarbonyl group of 1-15 carbon atoms in which some or all of the hydrogen atoms on the constituent carbon atoms may be substituted with halogen atoms, k is 0 or 1, m is an integer from 0-3, and n is an integer from 3-6.